

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pre-Amend B
05-30-02
DW

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Application of: D. SCHMOUTZ et al.

Application No.: 09/617,930

Group Art Unit: 1761

Filed: August 16, 2000

Examiner: L. Tran

For: CONFECTIONERY PRODUCT
COMPRISING VEGETABLE SOLIDS

Attorney Docket No.: 88265-340

PRELIMINARY AMENDMENT

Box CPA

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

In response to the final Office Action mailed March 26, 2002, Applicants submit the following amendments and remarks for entry into the above-identified application.

IN THE CLAIMS

Please amend the claims as follows:

1. (Twice Amended) A confectionery product comprising:
a mixture of at least 25% by weight of solid fat which comprises cocoa butter
or a derivative thereof, vegetable fat, or a combination thereof, and
at least 15% by weight of non-cereal vegetable solids finely ground to a size of
about 80 microns or less that are dispersed in a continuous fat phase of the solid fat which
serves as a matrix for the vegetable solids to form a stable shape for the product and to impart
a confectionery texture to the product.

2. (Twice Amended) The confectionery product according to claim 1,
wherein the fat is present in an amount of at least about 30% by weight of the product.

7. (Amended) The confectionery product according to claim 1, wherein
the product is formed from a chocolate or equivalent thereof where the vegetable solids have

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional application under 37 CFR 1.53(d))

Access to:
ACADEMIA 3K OFFICE

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No.
of Prior Application 88265-340

First Named Inventor D. SCHMOUTZ, et al.

Examiner Name L. Tran

Group Art Unit 1761

Express Mail Label No.

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/617,930 filed on August 16, 2000 entitled CONFECTIONERY PRODUCT COMPRISING VEGETABLE SOLIDS

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice", Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 statement: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed
5. Information Disclosure Statement (IDS) is enclosed.
 - a. ☒ PTO-1449
 - b. ☐ Copies of IDS citations

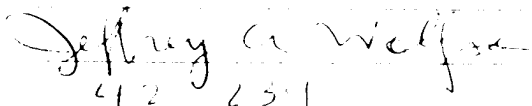
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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (i))		26- 20* =	6	x \$ 18.00 =	\$ 108.00
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j))		- 3** =	0	x \$ 84.00 =	\$ 0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ =	
				BASIC FEE (37 CFR 1.16)	\$ 740.00
				Total of above Calculations =	\$ 848.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.27)					\$
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.				TOTAL =	\$ 848.00

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 501-814:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
- d. ☒ Fees required under 37 CFR 1.136, if any.
8. ☐ A check in the amount of \$ _____ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☐ New Attorney Docket Number, if desired _____
[Prior application Attorney Docket Number will carry over to this CPA unless a new Attorney Docket Number has been provided herein.]
12. a. ☐ Receipt for Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

NOTE: *The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.*

13. NEW CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label or		28765 <i>(Insert Customer No. or Attach bar code label here)</i>		<input type="checkbox"/> New correspondence address below	
Name	WINSTON & STRAWN				
Address					
City		State		Zip	
Country		Telephone		Facsimile	

14. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name	Jeffrey A. Wolfson (Reg. No. 42,234) For: Allan A. Fanucci (Reg. No. 30,256)
Signature	
Registration No.	42,234
Date	5/23/02